

## WELCOME

Referat IV/5 Forschungsförderung und Drittmittel

**I**IR

Universität Regensburg





## The European Research Council (ERC) in Horizon Europe

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www.erc-germany.de





### Agenda

- ERC Basics
- Application Procedure and Evaluation Process
- General Hints



#### National Contact Point ERC – Services

- General information on the ERC
- Advice on grant application and grant management
- Proposal check (contact us 3 weeks before deadline)
- Events
- Newsletter & website







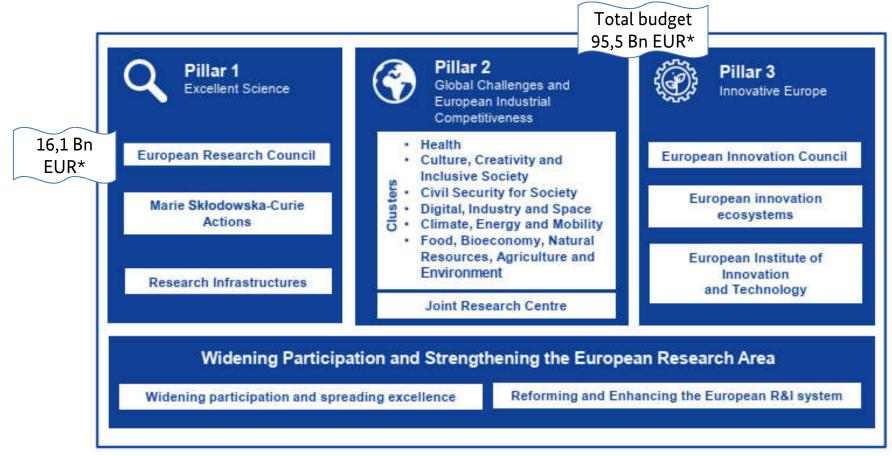


## **ERC Basics**





#### Horizon Europe Programme Structure (2021-2027)



\*Status: Trilogue, 10.12.2020





#### ERC in Horizon Europe/Work Programme 2021

- **Core message:** Stability and continuity
- Slightly revised panels for 2021/22 calls (published <u>here</u>)
- Advanced Grant: interview as part of the evaluation
- **Changes** to call calendar:
  - Opening of first calls delayed
  - Eligibility periods for StG and CoG not affected
  - No Synergy Grant & possibly no Proof of Concept call in 2021
  - return to "usual" calendar by WP 2023

Please check for updates! https://erc.europa.eu/





## Principles of ERC Funding

- Scientific excellence as sole criterion
- Ground-breaking frontier research
- High risk high gain
- Investigator-driven
- All research fields "bottom-up"
- Portability of grants
- Funding regardless of nationality, age & gender
- Host Institution based in the EU/Associated Country
- No co-financing needed
- Scientific autonomy



#### **European Research Council**

Established by the European Commission





#### ERC Grant Schemes (I/II)

	Starting	Consolidator	Advanced	Synergy	Proof of
	Grants StG	Grants CoG	Grants AdG	Grants SyG	Concept
Target group	Scientists 2-7 years after PhD	Scientists 7-12 years after PhD	Established scientists, 10 years track record	2-4 scientists	Successful ERC Grantees
Max. duration	5 years	5 years	5 years	6 years	18 months
Max.	1.5 Mio. €	2 Mio. €	2.5 Mio. €	10 Mio. €	150 000 €
budget	(2.5 Mio.€)*	(3 Mio.€)*	(3.5 Mio.€)*	(14 Mio. €)*	

\* exception possible in case of high equipment costs or PI from Third Country, other major experimental and field work costs, excluding personnel costs





#### ERC Grant Schemes (II/II)

	Starting Grants StG	Consolidator Grants CoG	Advanced Grants AdG	Synergy Grants SyG	Proof of Concept
PI Time commit- ment project	50%	40%	30%	30%	-
PI Time commit- ment in EU / AC*	50%	50%	50%	50%	-

\* Associated Country





#### Eligibility Period Work Programme 2021

Differen Medica	t Rules for al Doctors	Starting Grants StG	Consolidator Grants CoG	Advanced Grants AdG
	Eligibility Period:	> 2 and $\leq$ 7 years	> 7 and $\leq$ 12 years	No specific criteria
	Date of the first PhD	prior to 1 January 2021	prior to 1 January 2021	
		Cut-off dates:	Cut-off dates:	
		PhD awarded from	PhD awarded from	
		1 January 2014 to	1 January 2009 to	
		31 December 2018	31 December 2013	
		(inclusive)	(inclusive)	

The date of the first PhD considered for the calculation of the eligibility period is the date of the actual award according to the national rules of the country where the degree was awarded.





## Eligibility of Medical Doctors (MD)

- German "Dr med" is not considered as reference date, 3. Staatsexamen is
- Eligibility period:
  - 4-9 years past MD/"3. Staatsexamen" for Starting Grants
  - 9-14 years past MD/"3. Staatsexamen" for Consolidator Grants
- Principal Investigators who are MDs need to have:
  - Appointment that requires doctoral equivalency (postdoc fellowship, professorship, ...)

OR

Additional PhD





### Extensions of the eligibility period

- Maternity before/after PhD: 18 months per child
- Extension by the documented time:
  - Maternity before/after PhD leave > 18 months
  - Paternity before/after PhD
  - Military/civil service after PhD
  - Long-term illness of the PI or a close family member (>90 days) after PhD
  - Clinical training after the award of the first eligible degree (up to 4 years)





#### Call Calendar 2021, **tentative** dates\*

	Starting Grants (ERC-2021-StG)	Consolidator Grants (ERC-2021-CoG)	Advanced Grants (ERC-2021-AdG)
Open	25-02-2021	11-03-2021	20-05-2021
Closing	24-03-2021	20-04-2021	31-08-2021

\*Dates are subject to the adoption of Horizon Europe and the ERC Work Programme 2021.





### Facts & Figures

Starting G		Consolidator Grant	Advanced Grant
2020		2020	2020
	L3,3 % antee: 35* oposals years tatistics show th equal throughor eligibility v		<ul> <li>2678 Proposals</li> <li>(42% increase, 20% increase from UK)</li> <li>Expect to fund: ~210 proposals</li> <li>Expected success rate: ~7.8%</li> <li>Median age grantee: 52*</li> </ul>





# **Application Procedure and Evaluation Process**





## Familiarize yourself early

- with the Funding & Tenders Portal and the submission system <u>https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home</u>
- with the relevant documents such as the Information for Applicants of your call
- with the evaluation sub-criteria

2021 documents (pre-publication beginning of February <u>https://erc.europa.eu/</u>) Until then check out documents from 2020

Federal Ministry of Education and Research		RELATION AND A CONTRACT OF A C
The proposal —	2 audiences: B1 - generalists & B2 - experts of your field	
Administrative Forms (Part A)	Research Prop	osal (Part B)
<ul> <li>1 General information including abstract</li> <li>2 Participants &amp; contacts</li> <li>2 Pudget table</li> </ul>	B1 A Extended Synopsis of the project (5 pages) B Curriculum vitae (2 pages)	B2 Scientific Proposal A State-of-the-art and objectives B Methodology C Ressources and Time Commitment (2 pages)
<ul> <li>3 Budget table</li> <li>4 Ethics</li> <li>5 Call specific questions including excluded reviewers</li> </ul>	<pre>Appendix: ongoing grants/grant applications C Track-record (2 pages)</pre>	Annexes Host Institution Binding Statement of Support PhD record (StG & CoG) Ethics documents (if applicable) Extension of eligibility (if ap.)





### Peer Review Evaluation Procedure - Panels

#### Handled by 27 panels divided into 3 scientific domains

- 11 Physical Sciences and Engineering (PE) panels
- 9 Life Sciences (LS) panels
- 7 Social Sciences and Humanities (SH) panels

Panels consist of a panel chair & 10-15 members

- Names of panel chairs published on <u>ERC</u> <u>website</u> before call deadline, names of panel members after evaluation
- Panels alternate most 2019 panel members are reinvited for 2021

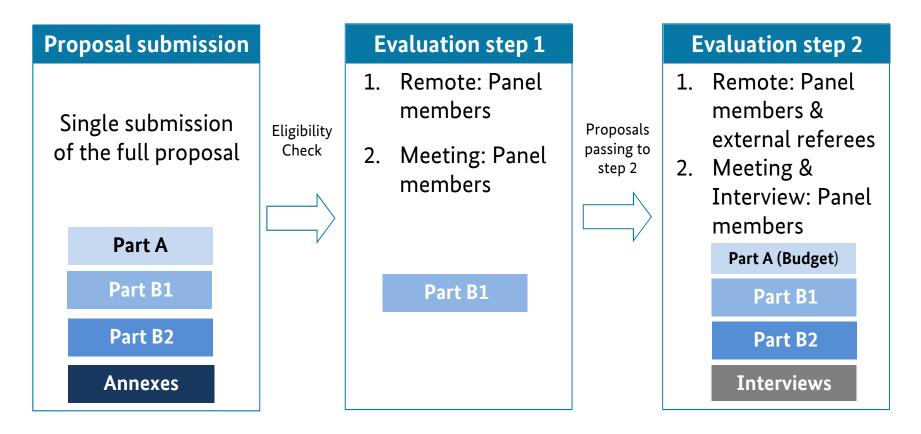
- Check <u>Panel</u>
   <u>structure</u> for ERC
   calls 2021 and 2022
   including
   descriptors
- Choose the panel that fits best, choose descriptors, keywords & target your proposal to that audience

Success rate is independent from the panel!





#### **Evaluation Procedure**







### Scores & Re-application rules



A = sufficient quality

B = high quality but not sufficient

C = unsufficient

**Evaluation step 2** 

A = sufficient quality **funded** unfunded

B = high quality but not sufficient

A: moves on to evaluation step 2B: suspended for one call yearC: suspended for two call years

A unfunded & B: no re-application restrictions





# **General Hints**





### Some questions on your proposal

- Can you explain your project idea **briefly and concisely**?
- Are the **goals** and the **novelty** clear from the beginning?
- Which **important challenges** will you tackle?
- Which are the **ground-breaking** or **novel** aspects?
- What makes the project **high risk but feasible**?
- What is the expected **impact** on your **research field**?
- Why are you the **right person** to carry out the project?
- > Propose a project that comes from your heart & be excited about it
- > Use the expertise of your EU office and the NCP ERC





### Readability



- Easy to read and attractive
  - Understandable for non experts of your field
  - Leave no question & no evaluation criteria unanswered
  - Concise title, headings, sub-headings
  - Clear, comprehensible language, short sentences
  - Paragraphs, Bullet points, tables, graphics...
  - Time chart, roles of team members etc





#### Typical Reviewer Comments you do not want to read about your proposal\* *"the principal*

"solid **track record** ... however, was not considered as internationally competitive"

"The **novelty** of insights is not always clear "

*"serious doubts about the independence* of the PI"

"Confusingly written proposal"

"I consider this proposal to be high risk, but I am not convinced that the **potential gains** are truly very high"

"neither the lead question nor the approaches are clearly defined" "the principal investigator does not seem to have the **capacity** and is not prepared to execute the outlined project"

"Feasibility is hard to judge based on the information provided"

"rather incremental than groundbreaking"

"There is hardly any form of **work plan**"

Examples taken from StG 2019





### Typical Reviewer Comments you may want to read about your proposal\* *"Ground-breaking project*

"Ground-breaking project that if successful could have a huge impact in the field"

"The PI has an **excellent track** record of publishing in high impact journals and is first author on a significant number of these papers"

*"originality* and *importance* of the proposal"

*"incredibly ambitious proposal"* 

"highly **sophisticated** and **inventive** technology underlying this proposal"

*"methods are on the cutting edge of work in this area"* 

"scientific approach is feasible but clearly high risk/high gain" "The PI is an eclectic, original thinker"

Examples taken from StG 2019





### **Browse funded ERC Projects**

European Research Council

Supporting top researchers from anywhere in the world

Home » Projects & figures » ERC Funded Projects

#### ERC FUNDED PROJECTS

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FILTER		The ERC operates according to a "curiosity-driven", or "bottom-up", approach, allowing researchers to identify new opportunities in any field of research.
FUNDING SCHEME	۲	Accordingly the portfolio ERC funded projects spans a wide range of topics and research questions.
CALL YEAR	•	Since 2007, more than 9,000 projects have been selected to receive ERC funding throughout the EU Member States and the associated countries.
RESEARCH DOMAIN	•	Use the search facility to quickly and easily find examples of ERC funded projects.
COUNTRY OF HOST INSTITUTION	۱	HOW CAN YOU SEARCH?
	leset	Projects can be filtered according to funding scheme, call year, panel and/or country of host institution.
	*******	You can also use the search box and enter free text words, for instance names of

FUNDING PROJECTS & FIGURES NEWS & EVENTS MANAGING YOUR PROJECT ABOUT ERC

You can also use the search box and enter free text words, for instance names of universities or principal investigators.

#### https://erc.europa.eu/projects-figures/erc-funded-projects





#### Love to stream?

- 7 ERC Classes "Step by step to the ERC Application process"
- <u>https://www.youtube.com/watch?v=xbFbzkVWgCU&list=PLtv6FnsXqn</u> <u>XAYRk6HCErwMxwML0ZKoMcy</u>







#### Resources

- NCP ERC (extensive information) <u>www.eubuero.de/erc.htm</u> (DEU) & <u>www.erc-germany.de</u> (EN)
- ERC (extensive information) <u>https://erc.europa.eu/</u>
- Funding & Tenders Portal (calls, documents, proposal registration and submission) <u>https://ec.europa.eu/info/funding-</u> <u>tenders/opportunities/portal/screen/home</u>
- Your institution <u>https://www.uni-</u> <u>regensburg.de/forschung/forschungsfoerderung/eu-service/index.html</u>



Thank you for listening! Any questions?



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#### ✓ External funding incentive system:

rewards application for external funding projects with up to 30.000 € in five different lines: <u>https://www.uni-regensburg.de/forschung/drittmittelanreizsystem/index.html</u>

 ✓ Information and calls: Newsletter research funding: Mail to webadmin.forschungsfoerderung@ur.de

#### ✓ Next events:

- February 23 16:00: Marie Skłodowska Curie Actions
- March 2 10:00: The Future of Joint Research





#### ✓ Ref. IV/5 Research Support and External Funding:

for applications to the EU, please contact:

Eva Birner eva.birner@ur.de 0941 943 5509

#### Faculties:

- Medicine
- Mathematics
- Physics
- Biology and Pre-Clinical Medicine
- Chemistry and Pharmacy

Dr. Stefanie Rumm <u>stefanie.rumm@ur.de</u> 0941 943 5535

#### Faculties:

- Catholic Theology
- Law
- Business, Economics and
- Management Information Systems
- Philosophy, Art History, History and Humanities
- Human Sciences
- Languages, Literature and Cultures